

1. Name of Listed Entity: IIFL Wealth Management Limited
2. Scrip Code/Name of Scrip/Class of Security: IIFLWAM
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares	No. of Partly paid-up equity shares	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)						
			(IV)	(V)	(VI)	(VII)	No of Voting Rights			Total as a % of (A+B+C)		(X)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)							
			As a % of (A+B+C2)			Classes eg: X	Classes eg: y	Total															
A	Promoter & Promoter Group	14	20146136	0	0	20146136	22.98	20146136	0	20146136	22.98	0	22.98	7722647	38.33			20146136					
B	Public	224163	67512023	0	0	67512023	77.02	67512023	0	67512023	77.02	0	77.02		0			67472504					
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0					
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0					
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0					
	Total	224309	87658159	0	0	87658159	100	87658159	0	87658159	100	0	100	7722647	8.81			87618640					

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1 Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a Individuals/Hindu undivided Family		12	19424708	0	0	19424708	22.16	19424708	0	19424708	22.16	0	22.16	7572647	38.98			19424708
Nirmal Bhanwarlal Jain	ABR PJ923 5G	1	6228856	0	0	6228856	7.11	6228856	0	6228856	7.11	0	7.11	0	0			6228856
Yatin Shah	AAM PS42 25K	1	3356490	0	0	3356490	3.83	3356490	0	3356490	3.83	0	3.83	3310526	98.63			3356490
Iifl Investment Adviser And Trustee Services Limited (Trustee to Kyra Family Private Trust)	AAD TK08 62B	1	1500000	0	0	1500000	1.71	1500000	0	1500000	1.71	0	1.71	1500000	100			1500000
Iifl Investment Adviser And	AAD TK08 64H	1	1500000	0	0	1500000	1.71	1500000	0	1500000	1.71	0	1.71	1500000	100			1500000

	Resident Individuals/ Foreign Individuals)																		
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	14	20146 136	0	0	201461 36	22.98	201460 136	0	201 461 36	22.98	0	22.98	7722 647	38.3 3				20146136

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		7	1190342	0	0	1190342	1.36	1190342	0	1190342	1.36	0	1.36	0	0			1190342
	Sundaram Mutual Fund A/C Sundaram Mid Cap Fund	AAA TS25 54B	1	963106	0	0	963106	1.1	963106	0	963106	1.1	0	1.1	0	0			963106
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		3	167439	0	0	167439	0.19	167439	0	167439	0.19	0	0.19	0	0			167439
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		96	19159618	0	0	19159618	21.86	19159618	0	19159618	21.86	0	21.86	0	0			19159618
	Smallcap World Fund, Inc	AAB CS35 81L	1	4350654	0	0	4350654	4.96	4350654	0	4350654	4.96	0	4.96	0	0			4350654
	Hwic Asia	AAA	1	405179	0	0	405179	4.62	405179	0	405179	4.62	0	4.62	0	0			405179

	Fund Class A Shares	CO4504G		90			0		90		1790						
	Wf Asian Reconnaissance Fund Limited	AAB CW5771E	1	2698851	0	0	2698851	3.08	2698851	0	2698851	3.08	0	3.08	0		2698851
	Bank Muscat India Fund	AAD CB4040P	1	1799746	0	0	1799746	2.05	1799746	0	1799746	2.05	0	2.05	0		1799746
f	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0		0
g	Insurance Companies		1	300000	0	0	300000	0.34	300000	0	300000	0.34	0	0.34	0		300000
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		107	20817399	0	0	20817399	23.75	20817399	0	20817399	23.75	0	23.75	0		20817399
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		20863	8646405	0	0	8646405	9.87	8646405	0	8646405	9.87	0	9.87	0		8639188
i	Individual		20840	435240	0	0	435240	4.97	435240	0	435240	4.97	0	4.97	0		4345257

	shareholders holding nominal share capital up to Rs. 2 lakhs.		74			4		74		2474							
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	23	4293931	0	0	4293931	4.9	4293931	0	4293931	4.9	0	4.9	0			4293931
b	NBFCs registered with RBI	2	170	0	0	170	0	170	0	170	0	0	0	0			170
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	1444	38048049	0	0	38048049	43.41	38048049	0	38048049	43.41	0	43.41	0			38015747
	Bodies Corporate	275	315697	0	0	315697	0.36	315697	0	315697	0.36	0	0.36	0			315537
	Clearing Members	50	39028	0	0	39028	0.04	39028	0	39028	0.04	0	0.04	0			39028
	Foreign Nationals	2	21679	0	0	21679	0.02	21679	0	21679	0.02	0	0.02	0			21679
	Foreign Portfolio Investor (Category - III)	1	545	0	0	545	0	545	0	545	0	0	0	0			545
	HUF	538	42960	0	0	42960	0.05	42960	0	42960	0.05	0	0.05	0			42960

										60								
IEPF		1	3669	0	0	3669	0	3669	0	3669	0	0	0	0	0			3669
Others		5	35153	0	0	35153	40.1	35153	0	35153	40.1	0	40.1	0				35153
Others		348	21797	0	0	21797	2.49	21797	0	21797	2.49	0	2.49	0				21476
Others		201	11636	0	0	11636	0.13	11636	0	11636	0.13	0	0.13	0				11636
Others		20	13852	0	0	13852	0.16	13852	0	13852	0.16	0	0.16	0				13852
Fih Mauritius Investments Ltd	AAC CF52 36C	1	12091	0	0	12091	13.79	12091	0	12091	13.79	0	13.79	0				12091
Rimco (Mauritius) Limited	AAH CR20 23A	1	19940	0	0	19940	2.27	19940	0	19940	2.27	0	2.27	0				19940
Wf Asian Reconnaissance Fund Limited	AAB CW5 771E	1	13560	0	0	13560	1.55	13560	0	13560	1.55	0	1.55	0				13560
Amansa Holdings Private Limited	AAK CA72 37L	1	10920	0	0	10920	1.25	10920	0	10920	1.25	0	1.25	0				10920
General Atlantic Singapore Fund Pte Ltd	AAE CG35 27D	1	18619	0	0	18619	21.24	18619	0	18619	21.24	0	21.24	0				18619
Amit Nitin Shah	ACZP S4397 P	1	15684	0	0	15684	1.79	15684	0	15684	1.79	0	1.79	0				15684
Trusts		3	36578	0	0	36578	0.04	36578	0	36578	0.04	0	0.04	0				538
Sub-Total		22309	46694	0	0	46694	53.28	46694	0	46694	53.28	0	53.28	0	0			46651

(B)(3)			624			24		624		946 24								
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		22416	675120 023	0	0	675120 23	77.03	675120 023	0	675 120 23	77.03	0	77.03	0	0			67472504

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	William E Ford		000545814	united states of america	21.38	21.38	21.38			
A	General Atlantic Singapore Fund Pte Ltd.	AAECG3527D		singapore	21.38	21.38	21.38	No	No	25-Feb-2016
2	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	AWGPJ4500N		India	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACTN7896B		India	1.64	1.64	1.64	No	No	06-Jun-2019
3	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACPJ7089G		India	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal Jain, Trustee	AACTN7896B		India	1.64	1.64	1.64	No	No	06-Jun-2019

	of Nirmal Madhu Family Private Trust									
4	Nirmal Bhanwarlal Jain and Madhu N Jain collectively	ABRPJ9235 G		india	8.28	8.28	8.28			
A	Orpheus Trading Pvt Ltd	AAACO717 2N		india	0.21	0.21	0.21	No	No	06-Jun-2019
B	Ardent Impex Pvt Ltd	AAECA763 1G		india	0.44	0.44	0.44	No	No	06-Jun-2019
C	Nirmal Bhanwarlal Jain	ABRPJ9235 G		india	7.63	7.63	7.63	No	No	06-Jun-2019
5	Madhu N Jain	ABEPJ6034 E		india	1.98	1.98	1.98			
A	Madhu N Jain	ABEPJ6034 E		India	1.98	1.98	1.98	No	No	06-Jun-2019

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

For IIFL Wealth Management Limited

Amit Bhandari
Company Secretary & Compliance officer