

1. Name of Listed Entity: IIFL Wealth Management Limited
2. Scrip Code/Name of Scrip/Class of Security: IIFLWAM
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
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 Lower Parel, Mumbai – 400 013
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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)		
								Classes eg: X	Classes eg: y	Total										
A	Promoter & Promoter Group	14	20100172	0	0	20100172	23.01	20100172	0	20100172	0	0	0	0	0	20100172				
B	Public	233164	67263184	0	0	67263184	76.99	67263184	0	67263184	0	0	0	0	0	67223665				
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	Total	233306	87363356	0	0	87363356	100	87363356	0	87363356	0	100	7722647	8.84	0	0	87323837			

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								As a % of (A+B+C2) (VIII)	No of Voting Rights				Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)	
									Class X	Class Y									Total
Indian Individuals/Hindu undivided Family		12	19378744	0	0	19378744	22.18	19378744	0	19378744	0	22.18	7572647	39.08	0	0	19378744		
Nirmal Bhanwarlal Jain	ABR PJ923 5G	1	6228856	0	0	6228856	7.13	6228856	0	6228856	0	7.13	0	0	0	0	6228856		
Yatin Shah	AAM PS42 25K	1	3310526	0	0	3310526	3.79	3310526	0	3310526	0	3.79	3310526	100	0	0	3310526		
Iifl Investment Adviser And Trustee Services Limited (Trustee to Kush Family Private Trust)	AAD TK08 62B	1	1500000	0	0	1500000	1.72	1500000	0	1500000	0	1.72	1500000	100	0	0	1500000		
Iifl Investment Adviser And Trustee	AAD TK08 64H	1	1500000	0	0	1500000	1.72	1500000	0	1500000	0	1.72	1500000	100	0	0	1500000		

d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	20100172	0	0	20100172	23.01	20100172	0	20100172	23.01	0	23.01	7722647	38.42	0	0	20100172

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		6	1118364	0	0	1118364	1.28	1118364	0	1118364	1.28	0	0					1118364
	Sundaram Mutual Fund A/C Sundaram Mid Cap Fund	AAA TS25 54B	1	915920	0	0	915920	1.05	915920	0	915920	1.05	0	0					915920
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		2	21684	0	0	21684	0.02	21684	0	21684	0.02	0	0					21684
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		104	18391253	0	0	18391253	21.05	18391253	0	18391253	21.05	0	0					18391253
	Smallcap World Fund, Inc	AAB CS35 81L	1	4222154	0	0	4222154	4.83	4222154	0	4222154	4.83	0	0					4222154
	Hwic Asia	AAA	1	405170	0	0	405170	4.64	405170	0	405170	4.64	0	0					405170

	Fund Class A Shares	CO4504G		90			0		90		1790							
	Wf Asian Reconnaissance Fund Limited	AAB CW5771E	1	2598851	0	0	2598851	2.97	2598851	0	2598851	2.97	0	2.97	0	0		2598851
	Bank Muscat India Fund	AAD CB4040P	1	1799746	0	0	1799746	2.06	1799746	0	1799746	2.06	0	2.06	0	0		1799746
f	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
g	Insurance Companies		2	322980	0	0	322980	0.37	322980	0	322980	0.37	0	0.37	0	0		322980
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		114	19854281	0	0	19854281	22.73	19854281	0	19854281	22.73	0	22.73	0	0		19854281
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		21695	9239978	0	0	9239978	10.58	9239978	0	9239978	10.58	0	10.58	0	0		9232761
i	Individual		21670	403710	0	0	403710	4.62	403710	0	403710	4.62	0	4.62	0	0		4029942

	shareholders holding nominal share capital up to Rs. 2 lakhs.		59			9		59		7159							
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	5202819	0	0	5202819	5.96	5202819	0	5202819	5.96	0	5.96	0	0		5202819
b	NBFCs registered with RBI	2	170	0	0	170	0	170	0	170	0	0	0	0	0		170
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)	1505	38168755	0	0	38168755	43.69	38168755	0	38168755	43.69	0	43.69	0	0		3816453
	Bodies Corporate	296	420341	0	0	420341	0.48	420341	0	420341	0.48	0	0.48	0	0		420341
	Foreign Nationals	2	21679	0	0	21679	0.02	21679	0	21679	0.02	0	0.02	0	0		21679
	HUF	560	41547	0	0	41547	0.05	41547	0	41547	0.05	0	0.05	0	0		41547
	IEPF	1	3669	0	0	3669	0	3669	0	3669	0	0	0	0	0		3669
	Non-Resident Indian (NRI)	211	112715	0	0	112715	0.13	112715	0	112715	0.13	0	0.13	0	0		112715
	Amit Nitin Shah	ACZP1 S4397	1568421	0	0	1568421	1.8	1568421	0	1568421	1.8	0	1.8	0	0		1568421

	P								1								
Others		34	50954	0	0	50954	0.06	50954	0	50954	0.06	0	0.06	0	0		50954
General Atlantic Singapore Fund Pte. Ltd.	AAE CG35 27D	1	18619550	0	0	18619550	21.31	18619550	0	18619550	21.31	0	21.31	0	0		18619550
Fih Mauritius Investments Ltd	AAC CF52 36C	1	12091635	0	0	12091635	13.84	12091635	0	12091635	13.84	0	13.84	0	0		12091635
Rimco (Mauritius) Limited	AAH CR20 23A	1	1994000	0	0	1994000	2.28	1994000	0	1994000	2.28	0	2.28	0	0		1994000
Wf Asian Reconnaissance Fund Limited	AAB CW5 771E	1	1356054	0	0	1356054	1.55	1356054	0	1356054	1.55	0	1.55	0	0		1356054
Amansa Holdings Private Limited	AAK CA72 37L	1	1092000	0	0	1092000	1.25	1092000	0	1092000	1.25	0	1.25	0	0		1092000
Trusts		3	36578	0	0	36578	0.04	36578	0	36578	0.04	0	0.04	0	0		36578
Sub-Total (B)(3)		23202	47408903	0	0	47408903	54.27	47408903	0	47408903	54.27	0	54.27	0	0		47369384
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		23316	67263184	0	0	67263184	76.99	67263184	0	67263184	76.99	0	76.99	0	0		67223665

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (i)	PAN (ii)	No. of older shares (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Underlying Outstanding convertible securities (including Warrants) (x)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0		0	0	0	0			0	0			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
I	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	AWGPJ4500N		India	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACTN7896B		India	1.64	1.64	1.64	No	No	06-Jun-2019
2	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACPJ7089G		India	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACTN7896B		India	1.64	1.64	1.64	No	No	06-Jun-2019
3	William E Ford		545814211	United States of America	21.38	21.38	21.38			

A	General Atlantic Singapore Fund Pte Ltd.	AAECG3527D		Singapore	21.38	21.38	21.38	No	No	25-Feb-2016
4	Nirmal Bhanwarlal Jain and Madhu N Jain collectively	ABRPJ9235G		India	8.28	8.28	8.28			
A	Orpheus Trading Pvt Ltd	AAACO7172N		India	0.21	0.21	0.21	No	No	06-Jun-2019
B	Ardent Impex Pvt Ltd	AAECA7631G		India	0.44	0.44	0.44	No	No	06-Jun-2019
C	Nirmal Bhanwarlal Jain	ABRPJ9235G		India	7.63	7.63	7.63	No	No	06-Jun-2019
5	Madhu N Jain	ABEPJ6034E		India	1.98	1.98	1.98			
A	Madhu N Jain	ABEPJ6034E		India	1.98	1.98	1.98	No	No	06-Jun-2019

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

For IIFL Wealth Management Limited



Mihir Nanavati
Chief Financial Officer
Date: 15/10/2020

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